OCT 1 0 2001 TELEPHONE: 5(2 TELEPHONE: 5(2/474-520) FACSIMILE: 512/535,4598 STEVEN L. HIGHLANDER

FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP 600 CONGRESS AVENUE, SUITE 2400 AUSTIN, TEXAS 78701

HOUSTON WASHINGTON, D.C. AUSTIN SAN ANTONIO DALLAS NEW YORK OS ANGELES MINNEAPOLIS LONDON HONG KONG

October 3, 2001

PARTNER

INTERNET ADDRESS:

SHIGHLANDER@FULBRIGHT.COM

DIRECT DIAL: 512/536-3184

FILE: UTSC:643US

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC

20231, on the date below:

October 3, 2001

Date

Steven ⊬lighlander

BOX MISSING PARTS

Commissioner for Patents Washington, DC 20231

RE:

SN 09/872,162 "ADJUVANT PREPARATION FOR THE INDUCTION OF SPECIFIC IMMUNITY" - Isaiah J. Fidler (Client Reference: MDA00-020)

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed September 10, 2001;
- (2) Declaration of Isaiah J. Fidler, Zhongyun Dong and Weixin Lu;
- Power of Attorney; (3)
- Small Entity Declaration; (4)
- Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. (5) 1.53(b)-Filing Date Granted;
- Check in the amount of \$2505.00; and (6)
- A return postcard to acknowledge receipt of these materials. Please date stamp and mail this (7) postcard.

25079270.1

Commissioner for Patents October 3, 2001 Page 2

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10104662/SLH.

Respectfully submitted,

Steven L. Highlander Reg. No. 37,642

SLH/mar

Encl: as noted





OCT 1 0 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Isaiah J. Fidler et al.

Serial No.: 09/872,162

Filed: May 31, 2001.

For: ADJUVANT PREPARATION FOR THE INDUCTION OF SPECIFIC IMMUNITY

Group Art Unit: 1653

Examiner: Unknown

Atty. Dkt. No.: UTSC:643US/SLH

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents Washington, DC 20231, on the date below:

October 3, 2001

Date

teven L. Highlander

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. 1.53(b)

BOX MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated September 10, 2001, there are enclosed herewith:

- (a) Declaration executed on behalf of Isaiah J. Fidler, Zhongyun Dong and Weixin Lu;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;
- (c) A Declaration Claiming Small Entity Status executed on behalf of Board of Regents, The University of Texas System;

25079266.1

(d) Our check in the amount of \$2505.00 to cover the basic filing fee (\$370.00 - utility small entity); surcharge for late filing (\$65.00); additional claims

fee (\$2070.00); and

(e) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under

37 C.F.R. 1.53(b)-Filing Date Granted.

An Assignment to Board of Regents, The University of Texas System and a check for

\$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R.

§§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an

overpayment be included herein, the Commissioner is authorized to deduct or credit said fees

from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10104662/SLH.

Please date stamp and return the accompanying postcard to evidence receipt of these

documents.

Respectfully submitted,

Steven L. Highlander

Reg. No. 37,642

Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

October 3, 2001



United States Patent and Trademark Office





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/872,162

05/31/2001

Isaiah J. Fidler

UTSC:643US

CONFIRMATION NO. 8776

Date Mailed: 09/10/2001

FORMALITIES LETTER

OC000000006533738

FULBRIGHT & JAWORSKI L.L.P. A REGISTERED LIMITED LIABILITY PARTNERSHIP **SUITE 2400** 600 CONGRESS AVENUE AUSTIN, TX 78701



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1966.
 - \$1566 for 174 total claims over 20.
 - □ \$400 for 10 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

 The balance due by applicant is \$ 2386. 	
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Adjustment dato: 11/05/2001 FLAKELL A copy of this notice MUST be returned with the reply.	09 09872
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Customer Service Center	NAOHAHI H
Initial Patent Examination Division (703) 308-1202	呈
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